

OFFICE OF RURAL COMMUNITY
AFFAIRS

DISASTER RECOVERY PROGRAM

Texas Community Development Block Grant
Program

2008 Supplemental Disaster Recovery Fund:
Hurricane Ike

East Texas Council of Governments
Non-Housing Activities Application

Project Name

Applicant Name

COUNTY

GENERAL OVERVIEW OF APPLICATION

This application is for non-housing activities. All activities must have documented proof of an impact by a major natural disaster declared in 2008. There must be a clear and compelling need related to the declaration, hurricane Ike disaster relief, long-term recovery and/or restoration of infrastructure. An activity underway prior to a Presidential disaster declaration will not qualify unless the disaster impacted the project. All eligible activities will be allowed so long as the activity is directly related to a major natural disaster declaration in 2008 through actual damage or a failure to function and is allowed under the State of Texas Plan for Disaster Recovery.

Applicants are encouraged to develop their community recovery projects in a manner that considers an integrated approach to housing, economic revitalization, and overall community recovery. Consideration of long-term planning processes is also highly encouraged.

FAQs

1. Once projects have been scored all nominated projects have the option of becoming the ORCA sub-recipient of their own grant. What this means is that an entity will apply **DIRECTLY** to ORCA to receive their grant funds. They will then be able to choose their own grant administrator and engineer for their project. If you are a Water Supply Corporation you **WILL** be required to have the County apply on your behalf as WSCs are not an eligible independent entity under ORCA guidelines.
2. Government buildings that are used for general conduct of government business (city, county) such as city halls and county courthouses are generally ineligible. However, in the event that a community's governmental infrastructure was damaged to such an extent that the recovery of that community is further impeded by a severe or total absence of adequate governmental facilities, then an exception to the prohibition of funding for buildings used for the general conduct of government may be requested by ORCA to HUD on a case-by-case basis.
3. Please note that ETCOG's scoring process is different than ORCA's review of eligibility. ORCA's review of eligibility will be in place once the nominated projects submit their applications to ORCA.
4. No CDBG funding may be used as a source of match.
5. Portable Generators are **NOT** eligible under this grant funding. ORCA will require Engineering specs for cost estimates on all generators.
6. If a city or other eligible applicant falls within two Counties please use the County in which the largest portion of that entities population resides.
7. An entity will be given the option of either refusing or accepting **PARTIAL/ INCOMPLETE** funding if they are given less than their project application amount because of the project cap. An entity can accept the funding and match the remaining amount themselves, or they can refuse the funding if they think they could not come up with the match.

APPLICATION INFORMATION

- 1. **Date Submitted:** _____
- 2. **Date received by ETCOG:** _____
- 3. **Type of Submission:** ___ Construction
 ___ Non-Construction

4. **APPLICATION INFORMATION**

Legal Name: _____

Organizational Unit: _____

Address (City, County, State, Zip Code) of Applicant: _____

Name, Title, Phone Number & Email of Applicant Preparer: _____

5. **Type of Entity:** _____

- 6. **Type of Application:** ___ New
 ___ Continuation
 ___ Revision

7. **Is the Applicant Delinquent on any federal debt?** ___ NO ___ YES

8. **TO THE BEST OF MY KNOWLEDGE AND BELIEF ALL DATA IN THIS APPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE CERTIFICATIONS AND CITIZEN PARTICIPATION PLAN INCLUDED IN THE PROCEDURES SECTION OF THE TxCDBG PROGRAM APPLICATION GUIDE IF THE ASSISTANCE IS AWARDED.**

Typed Name of Applicant's Authorized Representative: _____

Title of Applicant's Authorized Representative: _____

Telephone Number: _____

Signature of Applicant's Authorized Representative: _____

Date: _____

LONG-TERM PLANNING

Applicants are encouraged to develop their community recovery projects in a manner that considers an integrated approach to housing, infrastructure, economic revitalization, and overall community recovery. Consideration of long-term planning processes is also highly encouraged.

Provide a brief description of how the project addressed in this application form part of an integrated approach to recovery.

Is this project a stand alone activity or a component of a larger or multi phased project? If so describe the impact of this project if other components are not completed.

DESCRIPTION OF THE NEED(S) ADDRESSED IN THIS APPLICATION & PROJECT SUMMARY

Provide a narrative regarding all aspects of the project. This description should include the **cause of the damage** (i.e. wind, wind driven rain, rising water, etc...) or **failure to function**; current condition of the facility; detailed description of the project and the total number of households, businesses, and people that will directly benefit from each proposed activity.

All activities must have documented proof of an impact by either Hurricane Ike. There must be a clear and compelling need related to the disaster declaration, damage due to the event or failure to function during the event.

ACTIVITY SPECIFIC QUESTIONS

(check all activities that apply)

- Emergency Power-Generators
- Water System Improvements
- Road Improvements
- Street Improvements
- Transportation (other than roads & streets)
- Demolition and Clearance
- Community Centers/ Shelters
- Public Services
- Buyouts
- General Conduct of Government (limited)
- Sewer/Wastewater Improvements
- Drainage Improvements
- Gas Systems
- Fire Protection Facilities
- Solid Waste
- Debris Removal
- Economic Development
- Planning (long-term recovery planning studies)
- Accessibility Improvements
- Other Utilities

BUDGET JUSTIFICATION OF RETAIL COSTS

COST OF MATERIALS/FACILITIES: _____

\$ PER UNIT: _____

OF UNITS: _____

CONSTRUCTION COSTS: _____

ACQUISITION COSTS: _____

TOTAL COSTS: _____

Explanation of Costs:

Name of Engineer/Architect responsible for Budget justification_____

Contact information_____

Identify and explain the annual projected operation and maintenance costs associated with the proposed activities:

Did applicant receive project scoping services/estimate Quad sheets from HNTB? ___ Yes ___ No

Has this scope been modified? ___ Yes ___ No

Signature of Engineer/Architect responsible for Budget justification_____

**IDENTIFY THE LOCATION OF EACH ACTIVITY
(EXCLUDING ENGINEERING & PROJECT DELIVERY ACTIVITIES)**

Provide a brief description of the location of the project activity (include maps if possible):

**SUMMARY OF ETCOG's
OBJECTIVE SCORING CRITERIA**

- ETCOG's scoring process is separate from the ORCA review of eligibility that will occur once selected projects submit their applications to ORCA
- There will be a \$250,000 cap per project and a \$500,000 cap per ENTITY. This Number is based on RRC approved methodology
- After Regional scoring points are awarded by ETCOG, each County's 50 scoring points, based on their individually approved objective scoring criteria, will then be assigned.

STEPS IN PROJECT SELECTION

- | | |
|---|---------------------------|
| 1. Regional Need/Distress | |
| • Poverty Rate | 100 Points Maximum |
| • Per Capita Income | 75 Points Maximum |
| • Unemployment Rate | 45 Points Maximum |
| | ----- |
| Total ETCOG Possible Application Points: | 220 Maximum |
| 2. Total County Possible Scoring Points: | 50 Maximum |
| 3. <u>Final Scored Applications:</u> | <u>270 Maximum</u> |
| 4. Projects ranked within each county by total score | |
| 5. (Tie Breaker if needed) | |
| 6. Final list of ranked projects | |

Chosen County Criteria for 50 Scoring Points

Anderson County-Project Priority

Emergency Power-Generators	50 points
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Cherokee County -Project Priority

Emergency Power-Generators	50 points
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Solid Waste	40 points
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Fire Protection	40 points
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"Other" eligible projects	40 points
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Shelters	30 points
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Accessibility Improvements	10 points
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Gregg County -Project Priority

Emergency Power-Generators	50 points
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Water (plant, storage, distribution system)	50 points
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Wastewater (plant, collection system)	50 points
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Shelters	20 points
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Streets	10 points
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Harrison County- Project Priority

Emergency Power-Generators	50 points
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Water (plant, storage, distribution system)	30 points
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Wastewater (plant, collection system)	20 points
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Marion County –Project Priority

Emergency Power-Generators	50 points
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Water (plant, storage, distribution system)	50 points
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Wastewater (plant, collection system)	50 points
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Panola County –Project Priority

Emergency Power-Generators 50 points

Rusk County –Project Priority

Emergency Power-Generators 50 points

Smith County –Project Priority

Emergency Power-Generators 50 points

Shelters 40 points

Water (plant, storage, distribution system) 30 points

Wastewater (plant, collection system) 20 points

Roads (serving the larger area) 20 points

Streets(serving the local area) 20 points

Transportation (other than roads & streets) 20 points

Solid Waste 20 points

Gas Systems 20 points

accessibility improvements 20 points

Fire Protection 20 points

“Other “eligible projects 20 points

Upshur County –Project Priority

Emergency Power-Generators 50 points

ETCOG OBJECTIVE SCORING CRITERIA QUESTIONS

- 1. What is the poverty rate (poverty percentage) of the census geographic area that encompasses the project service area? (100 Pts Max)** If applicant falls within 2 Counties use the County in which the largest population is located.
- 2. What is the per capita income of the census geographic area that encompasses the project service area? (75 pts Max)** If applicant falls within 2 Counties use the County in which the largest population is located.
- 3. What is the unemployment rate for the applicant based on the appropriate county data? (45 pts Max)** If applicant falls within 2 Counties use the County in which the largest population is located.
- 4. TIEBREAK QUESTION: What is the Cost per Beneficiary of the project?**

***PLEASE SEE ATTACHMENT FOR SCORING METHODOLOGY**

OPTIONAL ATTACHMENT

1. Memorandum of Understanding of **Interlocal agreement** that states you are allowing the County in which your project is located to be the eligible Sub-recipient of the ORCA funds and to manage your project should it be selected.

PLEASE CHECK:

N/A applying directly to ORCA as Sub-recipient _____

Select County as Sub-recipient to apply on project's behalf-MOU attached _____

*If you are a Water Supply Corporation you WILL be required to have the County apply on your behalf as WSCs are not an eligible independent entity under ORCA guidelines.

PLEASE RETURN APPLICATIONS TO:

JULIE BURNFIELD
EAST TEXAS COUNCIL OF GOVERNMENTS
3800 STONE ROAD
KILGORE, TX 75662

IF YOU HAVE ANY QUESTIONS PLEASE CONTACT:

Julie Burnfield at 903-984-8641 EXT. 237 or julie.burnfield@etcog.org

ATTACHMENT 1

Poverty Rate Methodology:

Poverty rate may be determined by reviewing the 2000 Census data for the census geographic area. Once this information is obtained for each applicant, the poverty rate for each applicant is calculated by dividing the total number of persons at or below the designated poverty level by the population from which poverty persons was determined. Once this has been determined, the average poverty rate of the applicants is determined by dividing the sum of all poverty rates by the number of applicants.

Next, a base is determined by multiplying the average poverty rate by a constant such as 1.25 to represent 125%. The poverty rate is then divided by the base for each applicant to determine their poverty factor.

Finally, to determine scores the poverty factor for each applicant is multiplied by the total maximum allowable points. Any applicants exceeding the total allowed points will be capped at the maximum.

For example, a region has five applicants. The average rate of the five applicants is .2647. A constant of 1.25 is multiplied by the average poverty rate to determine the base. The poverty rate of each applicant is then divided by the base to determine their poverty factor. Finally, scores for each applicant are determined by multiplying the poverty factor by the maximum available points for this scoring criterion

EXAMPLE: *based on 15 maximum points

Applicant	Poverty Rate	Poverty Factor	Score
A	.1960	0.5925	8.89
B	.4096	1.2382	15.00
C	.2276	0.6880	10.32
D	.3760	1.1366	15.00
E	.1143	0.3455	05.18

Average: $1.3235 / 5 = .2647$ Base = $1.25 * .2647 = .3308$

Maximum Allowable Points: 15

Any applicants exceeding the total allowed points will be capped at the maximum.

Data Source: As Stated Below

Population and Poverty Rate: 2000 Census Summary File 3 Table P87

Information Needed From Applicant to Score:

Total Population of Census Geographic Area: _____

Census Geographic Area Poverty Rate: _____

Per Capita Income Methodology:

Per capita income may be determined by reviewing the 2000 Census data for the census geographic area. Once this information is obtained for each applicant, the average annual per capita income is calculated by dividing the sum of all annual per capita incomes by the total number of applicants.

Next, a base is set to provide a constant for the equation. The base is calculated by multiplying the average per capita income by a set number such as .75 to represent 75%. The base is then divided by the annual per capita income for each applicant. This number is referred to as the annual per capita income factor.

Finally to determine the score for each applicant the annual per capita income factor is multiplied by the total maximum allowable points. Any applicants exceeding the total allowed points will be capped at the maximum.

For example, a region has five applicants. The average annual per capita income of the five applicants is \$34,200. A constant of .75 is multiplied by the annual average per capita income to determine the base (25,650). The base is then divided by the annual per capita income of each applicant to determine their per capita income factor. Finally, scores for each applicant are determined by multiplying the per capita income factor by the maximum available points for this scoring criterion.

EXAMPLE: * based on 15 maximum points

Applicant	Per Capita Income (PCI)	PCI Factor	Score
A	\$36,000	.7125	10.6875
B	\$32,000	.8016	12.0240
C	\$33,500	.7657	11.4855
D	\$34,000	.7544	11.3160
E	\$35,500	.7225	10.8375
	\$171,000		

Average: $171,000 / 5 = 34,200$

Base= $.75 * 34,200 = 25,650$

Maximum Allowable Points: 15

Any applicants exceeding the total allowed points will be capped at the maximum.

Data Source: As Stated Below

Population: 2000 Census Data Summary File 3 Table P82

Information Needed From Applicant to Score:

Per Capita Income for Census Geographic Area: _____

Unemployment Rate Methodology:

The unemployment rate for the applicant’s jurisdiction may be determined by reviewing county data from the Tracer section of the Texas Workforce Commission’s website. Next, the average unemployment rate of the applicants is determined by dividing the sum of all unemployment rates by the number of applicants.

Next, a base is determined by multiplying the average unemployment rate by a constant such as 1.25 to represent 125%. The unemployment rate is then divided by the base for each applicant to determine their unemployment factor.

Finally, to determine scores, the unemployment factor for each applicant is multiplied by the total maximum allowable points. Any applicants exceeding the total allowed points will be capped at the maximum.

For example, a region has five applicants. The average unemployment rate of the five applicants is .2647. A constant of 1.25 is multiplied by the average unemployment rate to determine the base (0.3308). The unemployment rate of each applicant is then divided by the base to determine their unemployment factor. Finally, scores for each applicant are determined by multiplying the unemployment factor by the maximum available points for this scoring criterion.

Projects that include multiple jurisdictions - the applicant with the largest percentage (%) of beneficiaries will be considered the applicant of record

EXAMPLE: * based on 15 maximum points

Applicant	Unemployment Rate	Unemployment Factor	Score
A	.1960	0.5925	8.89
B	.4096	1.2382	15.00
C	.2276	0.6880	10.32
D	.3760	1.1366	15.00
E	.1143	0.3455	05.18

Average: $1.3235 / 5 = .2647$

Base = $1.25 * .2647 = .3308$

Maximum Allowable Points: 15

Any applicants exceeding the total allowed points will be capped at the maximum.

Data Source: TWC Tracer for 2007 Annual Data

Information Needed From Applicant to Score:

Applicant Unemployment Rate for 2007 Annual Data: _____